



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**PATENT**

~~7/11/02~~  
9/12/02

Atty. Dkt. No:	5681-11700
Client Ref. No.:	P7517
Inventor(s):	Kumar, et al.
Serial No.:	10/087,234
Filing Date:	March 1, 2002
Examiner:	Unknown
Group Art Unit:	2171
Title:	<b>LOCK MECHANISM FOR A DISTRIBUTED DATA SYSTEM</b>

<p><b>CERTIFICATE OF FIRST CLASS MAIL</b></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on the date indicated below.</p> <hr/> <p style="text-align: center;"><u>Robert C. Kowert</u> Name of Registered Representative</p> <hr/> <p><u>Aug. 12, 2002</u>      <u>M. C. K.</u> Date                          Signature</p>
--

## **POWER OF ATTORNEY BY ASSIGNEE AND REVOCATION OF PREVIOUS POWERS**

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Commissioner for Patents  
Washington, D.C. 20231

AUG 22 2002

Dear Sir/Madam:

Technology Center 2100

SUN MICROSYSTEMS, INC., ("assignee"), a Delaware corporation having a place of business at 901 San Antonio Road, Palo Alto, California 94303, certifies that to the best of assignee's knowledge and belief it is the assignee of the entire right, title, and interest in and to the above-referenced patent and represents that the undersigned is a representative authorized and empowered to sign on behalf of the assignee.

Assignee has reviewed the assignment documents that evidence the placement of title in the assignee, true and correct copies of which are attached hereto, and understands and believes that these assignment documents have been submitted for recordation in the U.S. Patent and Trademark Office.

Pursuant to 37 C.F.R. §1.36 and 3.71, the assignee hereby revokes all powers of attorney previously given and appoints

Kenneth Olsen	Reg. No. 26,493
Timothy J. Crean	Reg. No. 37,116
Alexander E. Silverman	Reg. No. 37,940
Anirma R. Gupta	Reg. No. 38,275
Sean P. Lewis	Reg. No. 42,798
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Noreen A. Krall	Reg. No. 39,734
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Marilyn E. Glaubensklee	Reg. No. 35,521
Andrew C. Chen	Reg. No. 43,544
Jeffrey L. Myers	Reg. No. 44,252

*each of said attorneys being employed by Sun Microsystems; and*

Mark K. Brightwell	Reg. No. 47,446
Brenna A. Brock	Reg. No. 48,509
Steve J. Curran	Reg. No. 50,664
Kevin L. Daffer	Reg. No. 34,146
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*each of said attorneys or agents being a member or an associate of the firm of Conley, Rose & Tayon, P.C., as attorney or agent for so long as they remain with such company or firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in the Patent and Trademark Office in connection therewith, and to receive the Letters Patent.*

Pursuant to 37 C.F.R. §3.71, the assignee hereby states that prosecution of the above-referenced patent application is to be conducted to the exclusion of the inventor(s).

Send all future correspondence to:

Robert C. Kowert  
CONLEY, ROSE & TAYON, P.C.  
P.O. Box 398  
Austin, Texas 78767-0398  
(512) 476-1400

Assignee of Interest:

SUN MICROSYSTEMS, INC.  
M/S PAL 01-521  
901 San Antonio Road  
Palo Alto, CA 94303

Dated: August 1, 2003

By:   
Name: Kenneth Olsen  
Reg. No.: 26,493  
Title: Vice President, Intellectual Property



UNITED STATES  
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AUG 20 2002

A rectangular stamp with a decorative border. The word "RECEIVER" is printed in large, bold, capital letters across the top. In the center, the date "JUL - 9 2002" is stamped in a smaller font. The entire stamp is enclosed in a decorative border.

JULY 03, 2002

CONLEY, ROSE & TAYON, P.C.  
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Commissioner for Trademarks  
Arlington, VA 22202-3513  
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\*102078825A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/30/2002

REEL/FRAME: 012849/0251  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
KUMAR, AJAY

DOC DATE: 04/12/2002

ASSIGNOR:  
SUSARLA, HANUMANTHU RAO

DOC DATE: 04/09/2002

ASSIGNOR:  
KHEMANI, PRAKASH

DOC DATE: 04/12/2002

ASSIGNEE:  
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901 SAN ANTONIO ROAD  
PALO ALTO, CALIFORNIA 94303

SERIAL NUMBER: 10087234  
PATENT NUMBER:

FILING DATE: 03/01/2002  
ISSUE DATE:

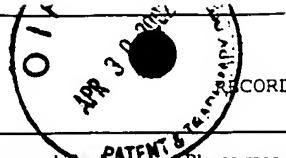
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MARCUS KIRK, EXAMINER  
ASSIGNMENT DIVISION  
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4/30/02



05-06-2002

To the Honorable Commissioner of Patents and Trademarks. Please record.

## 1. Name of conveying party(ies):

Ajay Kumar  
Hanumanthu Rao Susarla  
Prakash Khemani

Additional name(s) of conveying party(ies) attached?  yes  no

102078825

## 2. Name and address of receiving party(ies):

Name: Sun Microsystems, Inc.

Internal Address: \_\_\_\_\_

Street Address: 901 San Antonio Road

City Palo Alto State CA ZIP 94303

Additional name(s) & address(es) attached?  Yes  No

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## 3. Nature of Conveyance:

- Assignment
- Merger
- Security Agreement
- Change of Name
- Other \_\_\_\_\_

Execution Date: 4/12/02, 4/9/02, 4/12/02

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of this application is:

A. Patent Application No.(s) 10/087,234, filed 3/1/02

B. Patent No.(s)

Additional numbers attached?  Yes  No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert C. Kowert

Internal Address: Conley, Rose &amp; Tayon, P.C.

Street Address: P.O. Box 398

City Austin State TX ZIP 78767-0398

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): ..... \$ 40.00

 Enclosed Authorized to be charged to deposit account8. Deposit account number: 501505/5681-11700/RCK  
(Attach a duplicate copy of this page if paying by deposit account)

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## 9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert C. Kowert

Name of Person Signing  
Reg. No. 39,255

Signature

April 19, 2002

Date

Total number of pages: 3

OMB No. 0651-011 (exp.4/94)

05/06/2002 GTDN11 00000062 501505 10087234

01 FC:581 40.00 CH

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned does hereby:

SELL, ASSIGN AND TRANSFER to SUN MICROSYSTEMS, INC. (the "Assignee"), a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having its principal place of business at 901 San Antonio Road, Palo Alto, California 94303, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which was filed March 1, 2002, Serial No. 10/087,234, and is entitled LOCK MECHANISM FOR A DISTRIBUTED DATA SYSTEM, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT that, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for securing, maintaining and enforcing proper patent protection for such improvements and for vesting title to such improvements in the Assignee;

COVENANT AND AGREE that the above is and will be binding on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assigns.

PATENT  
5681-11700  
P7517

(Signature) Ajay Kumar Date: 27th April 2002  
Name: Ajay Kumar

(Signature) Hanumantha Rao Susarla Date: 3 APR 2002  
Name: Hanumantha Rao Susarla

(Signature) Prakash Khemani Date: Apr 12 2002  
Name: Prakash Khemani

Robert C. Kowert  
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